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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
William L. Robertson

Serial No. 09/680,244

Filed: October 5, 2000

For: System and Method for  
Hot Swapping Daughtercards  
in High Availability  
Computer Systems

§ Group Art Unit: 2183

§ Examiner: Unknown

§ Atty. Dkt. No.: 5181-35900

§ I hereby certify that this correspondence is being deposited with  
§ the U.S. Postal Service as First Class Mail in an envelope  
§ addressed to: Commissioner of Patents and Trademarks,  
§ Washington, D.C. 20231, on the date indicated below:

§ B. Noël Kivlin  
§ Name of Registered Agent

§ 4-4-01  
§ Date

§ Signature

TRANSMITTAL

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed herewith is the following with regard to the above-identified application:

- (a) An Assignment of the invention executed by the inventor(s).
- (b) A combined Declaration and Power of Attorney executed by the inventor(s).
- (c) A Request for Extension of Time.
- (d) A Fee Authorization form in the amount of \$1,738.00 to cover the filing fee for large entity (\$710.00), additional claims (\$468.00), assignment (\$40.00), surcharge for late filing of oath or declaration for large entity (\$130.00), and two month extension of time (\$390.00).

(e) A copy of Notice to File Missing Parts of Application Filing Date Granted.

(f) A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5181-35900/BNK.

Respectfully submitted,



B. Noël Kivlin  
Reg. No. 33,929  
Attorney for Applicants

Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
Ph: (512) 476-1400

Date: 4-4-01



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B. Noël Kivlin  
Name of Registered Agent

4-4-01  
Date

Signature

**FEE AUTHORIZATION**

Commissioner for Patents  
Washington, DC 20231

The Commissioner is hereby authorized to charge the following fee to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5181-35900/BNK:

Total Claims	46	-20=	26	x \$18.00=	\$468
Basic Fee					\$710.00
Assignment Fee					\$40.00
Two Month Extension of Time					\$390.00
Surcharge					\$130.00
Total:					\$1,738.00

Attorney Docket No.: 5181-35900

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the abovementioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5181-35900/BNK.

Respectfully submitted,

B. Noël Kivlin  
Reg. No. 33,929  
Attorney for Applicants

Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
Ph: (512) 476-1400  
Date: 4-4-01



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Application of:  
William L. Robertson

Serial No. 09/680,244

Filed: October 5, 2000

**For: System and Method for  
Hot Swapping Daughtercards  
in High Availability  
Computer Systems**

[illegible]

Group Art Unit: 2183

Examiner: Unknown

Atty. Dkt. No.: 5181-35900

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---

B. Noël Kivlin

Name of Registered Agent

4-4-01

Date \_\_\_\_\_

**Signature**

## **SUBMISSION OF FORMAL DRAWINGS**

**Official Draftsman**  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

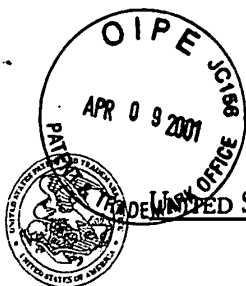
Applicant hereby submits the formal drawings for the above-referenced application and requests that these drawings be accepted for filing.

Respectfully submitted,



B. Noël Kivlin  
Reg. No. 33,929  
Attorney for Applicants

Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
Ph: (512) 476-1400  
Date: 11-4-01



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/680,244	10/05/2000	William L. Robertson	5181-35900

B. Noel Kivlin  
Conley, Rose, & Tayon, P.C.  
P.O.Box 398  
Austin, TX 78767

## FORMALITIES LETTER



\*OC000000005604712\*

Date Mailed: 12/07/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$468.
  - \$468 for 26 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1308.

09680244

A copy of this notice MUST be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/11/2001 BNGUYEN1 00000049 501505

710.00 CH  
130.00 CH  
468.00 CH

01 FC:101  
02 FC:105  
03 FC:103

